

Relative derivations, classification problems, and PDEs

Rui Loja Fernandes

Department of Mathematics



Outline

- 1 Derivations (review)
- 2 Example 1: extremal Kähler surfaces
- 3 Example 2: Riemann surfaces with $|\nabla K| = 1$
- 4 Relative derivations
- 5 Riemann surfaces with $|\nabla K| = 1$ revisited
- 6 Prolongations and relative Lie algebroids
- 7 PDEs and relative Lie algebroids

Main references:

- R.L. Fernandes & Ivan Struchiner, The Global Solutions to a Cartan's Realization Problem, *Mem. Amer. Math. Soc.* **306** (2025), no. 1548.
- R.L. Fernandes & Wilmer Smilde, Relative Lie algebroids and Cartan realization problems, arXiv:2503.19233. To appear in *Selecta Mathematica*.

Derivations of a vector bundle

Notations:

- $A \rightarrow M$ – vector bundle
- $\Omega^d(A) := \Gamma(\wedge^d A^*)$ – forms of degree d on A

Derivations of a vector bundle

Notations:

- $A \rightarrow M$ – vector bundle
- $\Omega^d(A) := \Gamma(\wedge^d A^*)$ – forms of degree d on A

For a vector bundle map

$$\begin{array}{ccc} A_1 & \xrightarrow{\Phi} & A_2 \\ \downarrow & & \downarrow \\ M_1 & \xrightarrow[\phi]{} & M_2 \end{array}$$

There is an induced pullback map

$$\Phi^* : \Omega^\bullet(A_2) \rightarrow \Omega^\bullet(A_1).$$

Remark: $\Omega^0(A) := C^\infty(M)$.

Derivations of a vector bundle

Definition

A **k -derivation** of $A \rightarrow M$ is a linear map $D : \Omega^\bullet(A) \rightarrow \Omega^{\bullet+k}(A)$ satisfying Leibniz:

$$D(\alpha \wedge \beta) = (D\alpha) \wedge \beta + (-1)^{|\alpha|k} \alpha \wedge (D\beta).$$

The **symbol** of D is the bundle map $\sigma(D) : T^*M \rightarrow \wedge^k A^*$, $\sigma(D)(df) := D(f)$.

Derivations of a vector bundle

Definition

A **k -derivation** of $A \rightarrow M$ is a linear map $D : \Omega^\bullet(A) \rightarrow \Omega^{\bullet+k}(A)$ satisfying Leibniz:

$$D(\alpha \wedge \beta) = (D\alpha) \wedge \beta + (-1)^{|\alpha|k} \alpha \wedge (D\beta).$$

The **symbol** of D is the bundle map $\sigma(D) : T^*M \rightarrow \wedge^k A^*$, $\sigma(D)(df) := D(f)$.

- A k -derivation is completely determined by what it does to degree 0 (i.e., functions) and degree 1 forms.
- A **morphism** $\Phi : (A_1, D_1) \rightarrow (A_2, D_2)$ is a vector bundle map such that

$$\Phi^* D_2 \alpha = D_1 \Phi^* \alpha.$$

Derivations of a vector bundle

Definition

A **k -derivation** of $A \rightarrow M$ is a linear map $D : \Omega^\bullet(A) \rightarrow \Omega^{\bullet+k}(A)$ satisfying Leibniz:

$$D(\alpha \wedge \beta) = (D\alpha) \wedge \beta + (-1)^{|\alpha|k} \alpha \wedge (D\beta).$$

The **symbol** of D is the bundle map $\sigma(D) : T^*M \rightarrow \wedge^k A^*$, $\sigma(D)(df) := D(f)$.

Definition

A **k -bracket** on $A \rightarrow M$ is a skew-symmetric, $\mathbb{R}$ -multilinear, map

$$[\cdot, \dots, \cdot] : \underbrace{\Gamma(A) \times \dots \times \Gamma(A)}_{(k+1)\text{-times}} \rightarrow \Gamma(A)$$

together with an **anchor** $\rho : \wedge^k A \rightarrow TM$ satisfying Leibniz:

$$[\alpha_0, \dots, f\alpha_k] = f[\alpha_0, \dots, \alpha_k] + \rho(\alpha_0, \dots, \alpha_{k-1})(f)\alpha_k.$$

Derivations of a vector bundle

Proposition

For a vector bundle $A \rightarrow M$ there is a natural 1:1 correspondence

$$\{k\text{-derivations}\} \xleftrightarrow{1:1} \{k\text{-brackets}\}$$

Derivations of a vector bundle

Proposition

For a vector bundle $A \rightarrow M$ there is a natural 1:1 correspondence

$$\{k\text{-derivations}\} \xleftrightarrow{1:1} \{k\text{-brackets}\}$$

Proof.

Koszul formula:

$$\langle \sigma(D)(df), v_1 \wedge \cdots \wedge v_k \rangle = \langle df, \rho(v_1, \dots, v_k) \rangle$$

$$D\alpha(v_0, \dots, v_k) = \sum_{i=0}^k (-1)^i \rho(v_0, \dots, \widehat{v}_i, \dots, v_k)(\alpha(v_i)) - \alpha([v_0, \dots, v_k]),$$



Derivations of a vector bundle

Denoting $\text{Der}^k(A)$ the space of k -derivations:

i) $\text{Der}^k(A) = \Gamma(\mathcal{D}_A^k)$ for a vector bundle $\mathcal{D}_A^k \rightarrow M$;

ii) There is a symbol short exact sequence:

$$0 \longrightarrow \text{Hom}(\wedge^{k+1} A, A) \longrightarrow \mathcal{D}_A^k \xrightarrow{\sigma} \text{Hom}(\wedge^k A, TM) \longrightarrow 0.$$

iii) Graded commutator $[\cdot, \cdot] : \text{Der}^k(A) \times \text{Der}^l(A) \rightarrow \text{Der}^{k+l}(A)$ is a graded Lie bracket.

Derivations of a vector bundle

Denoting $\text{Der}^k(A)$ the space of k -derivations:

❶ $\text{Der}^k(A) = \Gamma(\mathcal{D}_A^k)$ for a vector bundle $\mathcal{D}_A^k \rightarrow M$;

❷ There is a symbol short exact sequence:

$$0 \longrightarrow \text{Hom}(\wedge^{k+1} A, A) \longrightarrow \mathcal{D}_A^k \xrightarrow{\sigma} \text{Hom}(\wedge^k A, TM) \longrightarrow 0.$$

❸ Graded commutator $[\cdot, \cdot] : \text{Der}^k(A) \times \text{Der}^l(A) \rightarrow \text{Der}^{k+l}(A)$ is a graded Lie bracket.

Definition

A **Lie algebroid** is a pair (A, D) where D is a degree 1 derivation satisfying

$$D^2 = [D, D] = 0.$$

Derivations of a vector bundle

Denoting $\text{Der}^k(A)$ the space of k -derivations:

- (i) $\text{Der}^k(A) = \Gamma(\mathcal{D}_A^k)$ for a vector bundle $\mathcal{D}_A^k \rightarrow M$;
- (ii) There is a symbol short exact sequence:

$$0 \longrightarrow \text{Hom}(\wedge^{k+1} A, A) \longrightarrow \mathcal{D}_A^k \xrightarrow{\sigma} \text{Hom}(\wedge^k A, TM) \longrightarrow 0.$$

- (iii) Graded commutator $[\cdot, \cdot] : \text{Der}^k(A) \times \text{Der}^l(A) \rightarrow \text{Der}^{k+l}(A)$ is a graded Lie bracket.

Definition

A **Lie algebroid** is a pair (A, D) where D is a degree 1 derivation satisfying

$$D^2 = [D, D] = 0.$$

For a Lie algebroid $A \Rightarrow M$ one gets two complexes:

- **de Rham complex** $C^\bullet(A) := \Omega^\bullet(A)$, $d_{\text{dR}} := D$.
- **deformation complex** $C_{\text{def}}^\bullet(A) := \text{Der}^{\bullet-1}(A)$, $d_{\text{def}} := [D, \cdot]$.

Derivations of a vector bundle

Definition

A **Lie algebroid** is a pair (A, D) where D is a degree 1 derivation satisfying

$$D^2 = [D, D] = 0.$$

Definition

A **realization** (P, θ, r) of a Lie algebroid (A, D) is a morphism of Lie algebroids

$$\begin{array}{ccc} TP & \xrightarrow{\theta} & A \\ \downarrow & & \downarrow \\ P & \xrightarrow{r} & M \end{array} \quad \theta^* \circ D = d \circ \theta^*.$$

Derivations of a vector bundle

Definition

A **Lie algebroid** is a pair (A, D) where D is a degree 1 derivation satisfying

$$D^2 = [D, D] = 0.$$

Definition

A **realization** (P, θ, r) of a Lie algebroid (A, D) is a morphism of Lie algebroids

$$\begin{array}{ccc} TP & \xrightarrow{\theta} & A \\ \downarrow & & \downarrow \\ P & \xrightarrow{r} & M \end{array} \quad \theta^* \circ D = d \circ \theta^*.$$

Proposition

If $\mathcal{G} \rightrightarrows M$ integrates $A \rightrightarrows M$ then $(s^{-1}(x), \omega_{MC}, t)$ is a realization.

An example: extremal Kähler surfaces

A Kähler surface (S, g, Ω, J) is called **extremal** if the Hamiltonian vector field X_K associated with the Gaussian curvature K is an infinitesimal isometry.

Classification Problem

Find all extremal Kähler surfaces up to isomorphism.

An example: extremal Kähler surfaces

A Kähler surface (S, g, Ω, J) is called **extremal** if the Hamiltonian vector field X_K associated with the Gaussian curvature K is an infinitesimal isometry.

Classification Problem

Find all extremal Kähler surfaces up to isomorphism.

Unitary frame bundle:

$$P := \left\{ u : \mathbb{C} \rightarrow (T_x S, J_x) \mid \text{complex linear isomorphism} \right\}$$

Tautological form: $\theta \in \Omega^1(P, \mathbb{C})$

Levi-Civita connection: $\omega \in \Omega^1(P, \mathfrak{u}(1))$

Structure Equations: $\begin{cases} d\theta = -\omega \wedge \theta \\ d\omega = \frac{\kappa}{2} \theta \wedge \bar{\theta} \end{cases} \quad (\text{identifying } \mathfrak{u}(1) \simeq i\mathbb{R})$

An example: extremal Kähler surfaces

- Differentiating K :

$$dK = -(\bar{T}\theta + T\bar{\theta}), \text{ with } T : P \rightarrow \mathbb{C}, \quad T := \frac{i}{2}\theta(\tilde{X}_K),$$

where $\tilde{X}_K$ denotes the horizontal lift of X_K ;

An example: extremal Kähler surfaces

- Differentiating K :

$$dK = -(\bar{T}\theta + T\bar{\theta}), \text{ with } T : P \rightarrow \mathbb{C}, \quad T := \frac{i}{2}\theta(\tilde{X}_K),$$

where $\tilde{X}_K$ denotes the horizontal lift of X_K ;

- Differentiating T :

$$dT = U\theta - T\omega, \text{ with } U : P \rightarrow \mathbb{R}, \quad U := \frac{i}{2}\omega(\tilde{X}_K);$$

An example: extremal Kähler surfaces

- Differentiating K :

$$dK = -(\bar{T}\theta + T\bar{\theta}), \text{ with } T : P \rightarrow \mathbb{C}, \quad T := \frac{i}{2}\theta(\tilde{X}_K),$$

where $\tilde{X}_K$ denotes the horizontal lift of X_K ;

- Differentiating T :

$$dT = U\theta - T\omega, \text{ with } U : P \rightarrow \mathbb{R}, \quad U := \frac{i}{2}\omega(\tilde{X}_K);$$

- Differentiating U :

$$dU = -\frac{\kappa}{2}(\bar{T}\theta + T\bar{\theta}).$$

An example: extremal Kähler surfaces

Conclusion: An extremal Kähler surface amounts to:

- $U(1)$ -principal bundle $P \rightarrow S$ with a coframe $(\theta, \omega) \in \Omega^1(P, \mathbb{C} \oplus i\mathbb{R})$ and
- a map $(K, T, U) : P \rightarrow \mathbb{R} \times \mathbb{C} \times \mathbb{R}$

satisfying:

$$\begin{cases} d\theta = -\omega \wedge \theta \\ d\omega = \frac{\kappa}{2} \theta \wedge \bar{\theta} \\ dK = -(\bar{T}\theta + T\bar{\theta}) \\ dT = U\theta - T\omega \\ dU = -\frac{\kappa}{2}(\bar{T}\theta + T\bar{\theta}) \end{cases} \quad (\star)$$

The quotient $S = P/U(1)$ is the desired **extremal Kähler surface**.

An example: extremal Kähler surfaces

Conclusion: An extremal Kähler surface amounts to:

- $U(1)$ -principal bundle $P \rightarrow S$ with a coframe $(\theta, \omega) \in \Omega^1(P, \mathbb{C} \oplus i\mathbb{R})$ and
- a map $(K, T, U) : P \rightarrow \mathbb{R} \times \mathbb{C} \times \mathbb{R}$

satisfying:

$$\begin{cases} d\theta = -\omega \wedge \theta \\ d\omega = \frac{\kappa}{2} \theta \wedge \bar{\theta} \\ dK = -(\bar{T}\theta + T\bar{\theta}) \\ dT = U\theta - T\omega \\ dU = -\frac{\kappa}{2}(\bar{T}\theta + T\bar{\theta}) \end{cases} \quad (*)$$

The quotient $S = P/U(1)$ is the desired **extremal Kähler surface**.

System $(*)$ defines a degree 1 derivation D satisfying $D^2 = 0$, i.e., a **Lie algebroid**!

Algebroid of extremal Kähler surfaces

Vector bundle:

$$A = (\mathbb{R} \times \mathbb{C} \times \mathbb{R}) \times (\mathbb{C} \oplus i\mathbb{R}) \longrightarrow M = \mathbb{R} \times \mathbb{C} \times \mathbb{R}$$

(with global coframe $(\theta, \omega) \in \Omega^1(A)$)

(with global coordinates (K, T, U))

with derivation $D : \Omega^\bullet(A) \rightarrow \Omega^{\bullet+1}(A)$ defined by:

$$\begin{cases} D\theta = -\omega \wedge \theta \\ D\omega = \frac{\kappa}{2} \theta \wedge \bar{\theta} \\ DK = -(\bar{T}\theta + T\bar{\theta}) \\ DT = U\theta - T\omega \\ DU = -\frac{\kappa}{2}(\bar{T}\theta + T\bar{\theta}) \end{cases}$$

Algebroid of extremal Kähler surfaces

Vector bundle:

$$A = (\mathbb{R} \times \mathbb{C} \times \mathbb{R}) \times (\mathbb{C} \oplus i\mathbb{R}) \longrightarrow M = \mathbb{R} \times \mathbb{C} \times \mathbb{R}$$

(with global coframe $(\theta, \omega) \in \Omega^1(A)$) (with global coordinates (K, T, U))

with derivation $D : \Omega^\bullet(A) \rightarrow \Omega^{\bullet+1}(A)$ defined by:

$$\begin{cases} D\theta = -\omega \wedge \theta \\ D\omega = \frac{\kappa}{2} \theta \wedge \bar{\theta} \\ DK = -(\bar{T}\theta + T\bar{\theta}) \\ DT = U\theta - T\omega \\ DU = -\frac{\kappa}{2}(\bar{T}\theta + T\bar{\theta}) \end{cases}$$

Solutions: $U(2)$ -realizations of $A \Rightarrow M$ give the unitary frame bundles of extremal Kähler surfaces.

Example: Riemann surfaces with $|\nabla K| = 1$

Classification Problem

Find all Riemann surfaces (S, g) whose Gauss curvature K satisfies $|\nabla K| = 1$.

Example: Riemann surfaces with $|\nabla K| = 1$

Classification Problem

Find all Riemann surfaces (S, g) whose Gauss curvature K satisfies $|\nabla K| = 1$.

Orthogonal frame bundle:

$$P := \left\{ u : \mathbb{R}^2 \rightarrow (T_x S, g_x) \mid \text{linear isometry} \right\}$$

Tautological form: $\theta = (\theta^1, \theta^2) \in \Omega^1(P, \mathbb{R}^2)$

Levi-Civita connection: $\omega = \theta^3 \in \Omega^1(P, \mathfrak{so}(2))$

Structure Equations:
$$\begin{cases} d\theta^1 = -\theta^3 \wedge \theta^1, \\ d\theta^2 = \theta^3 \wedge \theta^1, \\ d\theta^3 = K\theta^1 \wedge \theta^2, \end{cases} \quad (\text{identifying } \mathfrak{so}(2) \simeq \mathbb{R})$$

Example: Riemann surfaces with $|\nabla K| = 1$

- Since $dK = K_1\theta^1 + K_2\theta^2$, for some functions K_1 and K_2 , and $|\nabla K| = 1$:

$$dK = \cos(\varphi)\theta^1 + \sin(\varphi)\theta^2,$$

for a single function $\varphi \in \mathbb{S}^1$;

Example: Riemann surfaces with $|\nabla K| = 1$

- Since $dK = K_1\theta^1 + K_2\theta^2$, for some functions K_1 and K_2 , and $|\nabla K| = 1$:

$$dK = \cos(\varphi)\theta^1 + \sin(\varphi)\theta^2,$$

for a single function $\varphi \in \mathbb{S}^1$;

- Using $d^2K = 0$, one finds:

$$d\varphi = \theta^3 + c_0(-\sin(\varphi)\theta^1 + \cos(\varphi)\theta^2),$$

for a new variable c_0 ;

Example: Riemann surfaces with $|\nabla K| = 1$

- Since $dK = K_1\theta^1 + K_2\theta^2$, for some functions K_1 and K_2 , and $|\nabla K| = 1$:

$$dK = \cos(\varphi)\theta^1 + \sin(\varphi)\theta^2,$$

for a single function $\varphi \in \mathbb{S}^1$;

- Using $d^2K = 0$, one finds:

$$d\varphi = \theta^3 + c_0(-\sin(\varphi)\theta^1 + \cos(\varphi)\theta^2),$$

for a new variable c_0 ;

- Using $d^2\varphi = 0$, one finds:

$$dc_0 = -(c_0^2 + K)dK + c_1(-\sin(\varphi)\theta^1 + \cos(\varphi)\theta^2),$$

for a new variable c_1 ;

Example: Riemann surfaces with $|\nabla K| = 1$

- Since $dK = K_1\theta^1 + K_2\theta^2$, for some functions K_1 and K_2 , and $|\nabla K| = 1$:

$$dK = \cos(\varphi)\theta^1 + \sin(\varphi)\theta^2,$$

for a single function $\varphi \in \mathbb{S}^1$;

- Using $d^2K = 0$, one finds:

$$d\varphi = \theta^3 + c_0(-\sin(\varphi)\theta^1 + \cos(\varphi)\theta^2),$$

for a new variable c_0 ;

- Using $d^2\varphi = 0$, one finds:

$$dc_0 = -(c_0^2 + K)dK + c_1(-\sin(\varphi)\theta^1 + \cos(\varphi)\theta^2),$$

for a new variable c_1 ;

- Using $d^2c_0 = 0$, one finds:

$$dc_1 = -2c_0c_1dK + c_2(-\sin(\varphi)\theta^1 + \cos(\varphi)\theta^2),$$

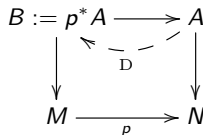
for yet a new variable c_2 .

Never ends! Produces a sequence of new functions $\varphi, c_0, c_1, \dots, c_k, \dots$

Relative derivations

Notations:

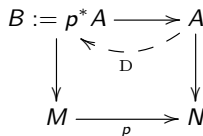
- $A \rightarrow N$ – vector bundle
- $p : M \rightarrow N$ – submersion
- $B := p^* A \rightarrow M$ – pullback bundle



Relative derivations

Notations:

- $A \rightarrow N$ – vector bundle
- $p : M \rightarrow N$ – submersion
- $B := p^*A \rightarrow M$ – pullback bundle



Definition

A **relative k -derivation** is a linear map $D : \Omega^\bullet(A) \rightarrow \Omega^{\bullet+k}(B)$ satisfying Leibniz

$$D(\alpha \wedge \beta) = (D\alpha) \wedge (p^*\beta) + (-1)^{|\alpha|k} (p^*\alpha) \wedge (D\beta).$$

Its **symbol** is the bundle map $\sigma(D) : p^*T^*N \rightarrow \wedge^k B^*$, $\sigma(D)(p^*df) := D(f)$.

Relative derivations

Definition

A **relative k -derivation** is a linear map $D : \Omega^\bullet(A) \rightarrow \Omega^{\bullet+k}(B)$ satisfying Leibniz

$$D(\alpha \wedge \beta) = (D\alpha) \wedge (p^*\beta) + (-1)^{|\alpha|k} (p^*\alpha) \wedge (D\beta).$$

Its **symbol** is the bundle map $\sigma(D) : p^*T^*N \rightarrow \wedge^k B^*$, $\sigma(D)(p^*df) := D(f)$.

- A relative k -derivation is completely determined by what it does to degree 0 (i.e., functions) and degree 1 forms.

Relative derivations

Definition

A **relative k -derivation** is a linear map $D : \Omega^\bullet(A) \rightarrow \Omega^{\bullet+k}(B)$ satisfying Leibniz

$$D(\alpha \wedge \beta) = (D\alpha) \wedge (p^*\beta) + (-1)^{|\alpha|k} (p^*\alpha) \wedge (D\beta).$$

Its **symbol** is the bundle map $\sigma(D) : p^*T^*N \rightarrow \wedge^k B^*$, $\sigma(D)(p^*df) := D(f)$.

Definition

A **relative k -bracket** is a skew-symmetric, $\mathbb{R}$ -multilinear, map

$$[\cdot, \dots, \cdot] : \underbrace{\Gamma(A) \times \dots \times \Gamma(A)}_{(k+1)\text{-times}} \rightarrow \Gamma(B)$$

together with an **relative anchor** $\rho : \wedge^k B \rightarrow p^*TN$ satisfying Leibniz:

$$[\alpha_0, \dots, f\alpha_k] = (p^*f)[\alpha_0, \dots, \alpha_k] + \rho(p^*\alpha_0, \dots, p^*\alpha_{k-1})(f)p^*\alpha_k.$$

Relative derivations

Proposition

For a vector bundle $A \rightarrow N$ and a submersion $p : M \rightarrow N$, there is a natural 1:1 correspondence

$$\{\text{relative } k\text{-derivations}\} \xleftrightarrow{1:1} \{\text{relative } k\text{-brackets}\}$$

Relative derivations

Proposition

For a vector bundle $A \rightarrow N$ and a submersion $p : M \rightarrow N$, there is a natural 1:1 correspondence

$$\left\{ \text{relative } k\text{-derivations} \right\} \xleftrightarrow{1:1} \left\{ \text{relative } k\text{-brackets} \right\}$$

Proof.

Similar, using modified Koszul formula:

$$(Df)(p^*v_1, \dots, p^*v_k) = \rho(p^*v_1, \dots, p^*v_k)(f),$$

$$(D\alpha)(p^*v_0, \dots, p^*v_k) = \sum_{i=0}^k (-1)^{i+k} \rho(p^*v_0, \dots, \widehat{p^*v_i}, \dots, p^*v_k) (\langle \alpha, v_i \rangle) \\ - p^*\alpha([v_0, \dots, v_k])$$



Relative derivations

Definition

A **relative k -derivation** is a linear map $D : \Omega^\bullet(A) \rightarrow \Omega^{\bullet+k}(B)$ satisfying Leibniz

$$D(\alpha \wedge \beta) = (D\alpha) \wedge (p^*\beta) + (-1)^{|\alpha|k} (p^*\alpha) \wedge (D\beta).$$

Its **symbol** is the bundle map $\sigma(D) : p^*T^*N \rightarrow \wedge^k B^*$, $\sigma(D)(p^*df) := D(f)$.

Denoting $\text{Der}_p^k(A)$ the space of k -derivations:

(i) $\text{Der}_p^k(A) = \Gamma(\mathcal{D}_p^k)$, for a vector bundle $\mathcal{D}_p^k \simeq p^*\mathcal{D}_A^k$;

(ii) There is a symbol short exact sequence:

$$0 \longrightarrow \text{Hom}(\wedge^{k+1} B, B) \longrightarrow \mathcal{D}_p^k \xrightarrow{\sigma} \text{Hom}(\wedge^k B, p^*TN) \longrightarrow 0.$$

Relative derivations

Definition

A **relative k -derivation** is a linear map $D : \Omega^\bullet(A) \rightarrow \Omega^{\bullet+k}(B)$ satisfying Leibniz

$$D(\alpha \wedge \beta) = (D\alpha) \wedge (p^*\beta) + (-1)^{|\alpha|k}(p^*\alpha) \wedge (D\beta).$$

Its **symbol** is the bundle map $\sigma(D) : p^*T^*N \rightarrow \wedge^k B^*$, $\sigma(D)(p^*df) := D(f)$.

Denoting $\text{Der}_p^k(A)$ the space of k -derivations:

- (i) $\text{Der}_p^k(A) = \Gamma(\mathcal{D}_p^k)$, for a vector bundle $\mathcal{D}_p^k \simeq p^*\mathcal{D}_A^k$;
- (ii) There is a symbol short exact sequence:

$$0 \longrightarrow \text{Hom}(\wedge^{k+1} B, B) \longrightarrow \mathcal{D}_p^k \xrightarrow{\sigma} \text{Hom}(\wedge^k B, p^*TN) \longrightarrow 0.$$

But there are some issues...

- No graded Lie bracket on the space of relative derivations!
- D^2 does not make sense!

Relative algebroid

Definition

A **relative algebroid** is a triple (A, p, D) where $A \rightarrow N$ is a vector bundle, $p : M \rightarrow N$ is a submersion, and D is a relative 1-derivation.

$$\begin{array}{ccc}
 B := p^*A & \longrightarrow & A \\
 \downarrow & \swarrow \scriptstyle D & \downarrow \\
 M & \xrightarrow{p} & N
 \end{array}$$

$$D : \Omega^\bullet(A) \rightarrow \Omega^{\bullet+1}(B).$$

Relative algebroid

Definition

A **relative algebroid** is a triple (A, p, D) where $A \rightarrow N$ is a vector bundle, $p : M \rightarrow N$ is a submersion, and D is a relative 1-derivation.

$$\begin{array}{ccc}
 B := p^* A & \longrightarrow & A \\
 \downarrow & \nwarrow \text{ } D \nearrow & \downarrow \\
 M & \xrightarrow{p} & N
 \end{array}
 \quad D : \Omega^\bullet(A) \rightarrow \Omega^{\bullet+1}(B).$$

- A more precise name would be **relative almost Lie algebroid**.
- There is a more general notion where $p : M \rightarrow N$ is replaced by $(M, \mathcal{F})$.
- D^2 does not make sense, so there seems to be no notion of **relative Lie algebroid**.

Relative algebroid

Definition

A **relative algebroid** is a triple (A, p, D) where $A \rightarrow N$ is a vector bundle, $p : M \rightarrow N$ is a submersion, and D is a relative 1-derivation.

$$\begin{array}{ccc}
 B := p^* A & \longrightarrow & A \\
 \downarrow & \swarrow D & \downarrow \\
 M & \xrightarrow{p} & N
 \end{array}$$

$$D : \Omega^\bullet(A) \rightarrow \Omega^{\bullet+1}(B).$$

Definition

A **realization** of a relative algebroid (A, p, D) consists of a manifold P together with a morphism of relative Lie algebroids

$$\begin{array}{ccc}
 TP & \xrightarrow{\theta} & B \\
 \downarrow & & \downarrow \\
 P & \xrightarrow{r} & M
 \end{array}$$

$$\theta^* \circ D = d \circ \theta^*.$$

Riemann surfaces with $|\nabla K| = 1$ revisited

Classification Problem: Find all Riemann surfaces (M, g) whose Gauss curvature K satisfies $|\nabla K| = 1$.

We saw this is described by

$$\begin{cases} d\theta^1 = -\theta^3 \wedge \theta^1, \\ d\theta^2 = \theta^3 \wedge \theta^1, \\ d\theta^3 = K\theta^1 \wedge \theta^2, \\ dK = \cos(\varphi)\theta^1 + \sin(\varphi)\theta^2. \end{cases}$$

Riemann surfaces with $|\nabla K| = 1$ revisited

Classification Problem: Find all Riemann surfaces (M, g) whose Gauss curvature K satisfies $|\nabla K| = 1$.

We saw this is described by

$$\begin{cases} d\theta^1 = -\theta^3 \wedge \theta^1, \\ d\theta^2 = \theta^3 \wedge \theta^1, \\ d\theta^3 = K\theta^1 \wedge \theta^2, \\ dK = \cos(\varphi)\theta^1 + \sin(\varphi)\theta^2. \end{cases}$$

This can be described by a relative derivation D !

Riemann surfaces with $|\nabla K| = 1$ revisited

$$\begin{array}{ccc}
 B = p^*A & \longrightarrow & A = \mathbb{R} \times \mathbb{R}^3 \quad (\text{global coframe } \{\theta^1, \theta^2, \theta^3\}) \\
 \downarrow & \nwarrow \text{---} \overline{D} \text{---} \nearrow & \downarrow \\
 (\text{coordinates } (K, \phi)) \quad M = \mathbb{R} \times S^1 & \xrightarrow{p} & N = \mathbb{R} \quad (\text{coordinate } K)
 \end{array}$$

$$\begin{cases}
 D\theta^1 = -\theta^3 \wedge \theta^1, \\
 D\theta^2 = \theta^3 \wedge \theta^1, \\
 D\theta^3 = K\theta^1 \wedge \theta^2, \\
 DK = \cos(\varphi)\theta^1 + \sin(\varphi)\theta^2.
 \end{cases}$$

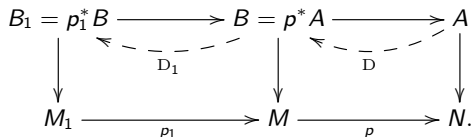
Riemann surfaces with $|\nabla K| = 1$ revisited

$$\begin{array}{ccc}
 B = p^*A & \longrightarrow & A = \mathbb{R} \times \mathbb{R}^3 \quad (\text{global coframe } \{\theta^1, \theta^2, \theta^3\}) \\
 \downarrow & \nwarrow \text{---} \overline{D} \text{---} \nearrow & \downarrow \\
 (\text{coordinates } (K, \phi)) \quad M = \mathbb{R} \times \mathbb{S}^1 & \xrightarrow{p} & N = \mathbb{R} \quad (\text{coordinate } K)
 \end{array}$$

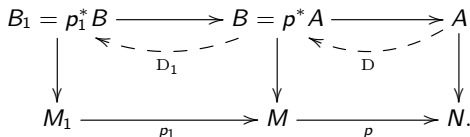
$$\begin{cases}
 D\theta^1 = -\theta^3 \wedge \theta^1, \\
 D\theta^2 = \theta^3 \wedge \theta^1, \\
 D\theta^3 = K\theta^1 \wedge \theta^2, \\
 DK = \cos(\varphi)\theta^1 + \sin(\varphi)\theta^2.
 \end{cases}$$

What about D^2 ?

- (i) (Extension) $D_1\alpha = p_1^*D\alpha$ for $\alpha \in \Omega^\bullet(A)$, and
- (ii) (Completion) $D_1 \circ D = 0$.



- (i) (Extension) $D_1\alpha = p_1^*D\alpha$ for $\alpha \in \Omega^\bullet(A)$, and
- (ii) (Completion) $D_1 \circ D = 0$.



Rui Loja Fernandes

Relative derivations

13 / 19

Recipe to construct prolongations

$$\begin{array}{ccccc}
 B_1 = p_1^* B & \longrightarrow & B = p^* A & \longrightarrow & A \\
 \downarrow & \swarrow \text{---} \text{D}_1 \text{---} & \downarrow & \swarrow \text{---} \text{D} \text{---} & \downarrow \\
 M_1 & \xrightarrow{p_1} & M & \xrightarrow{p} & N.
 \end{array}$$

Recipe to construct prolongations

$$\begin{array}{ccccc}
 B_1 = p_1^* B & \xrightarrow{\quad} & B = p^* A & \xrightarrow{\quad} & A \\
 \downarrow & \nwarrow \text{---} \text{D}_1 \text{---} \nearrow & \downarrow & \nwarrow \text{---} \bar{D} \text{---} \nearrow & \downarrow \\
 M_1 & \xrightarrow{\quad p_1 \quad} & M & \xrightarrow{\quad p \quad} & N.
 \end{array}$$

Step 1 The surjective bundle map $\Pi : \mathcal{D}_B^1 \rightarrow \mathcal{D}_p^1$, $D \mapsto D \circ p^*$, gives rise to a space of **pointwise lifts** or **completions** of D :

$$\begin{aligned}
 L &:= \{ \tilde{D}_m \in \mathcal{D}_B^1 \mid \Pi(\tilde{D}_m) = D_m, \text{ for some } m \in M \}, \\
 p_1 : L &\rightarrow M, \tilde{D}_m \mapsto m.
 \end{aligned}$$

14 / 19

14 / 19

Recipe to construct prolongations

$$\begin{array}{ccccc}
 B_1 = p_1^* B & \xrightarrow{\quad} & B = p^* A & \xrightarrow{\quad} & A \\
 \downarrow & \nwarrow \text{---} \text{---} \text{---} \nearrow & \downarrow & \nwarrow \text{---} \text{---} \text{---} \nearrow & \downarrow \\
 M_1 & \xrightarrow{\quad p_1 \quad} & M & \xrightarrow{\quad p \quad} & N.
 \end{array}$$

D_1 (between B_1 and B) D (between B and A)

Theorem

The previous prolongation is universal: if a prolongation exists, it factors through the previous one.

- The obstructions to existence can be expressed in terms of tableaux and Spencer cohomology;
- One defines higher order prolongations by iteration;
- One says that a relative algebroid is **formally integrable** if all prolongations exist.

Prolongations

Definition

A **relative Lie algebroid** is a formally integrable relative algebroid.

$$\begin{array}{ccccccc}
 (B_\infty, D_\infty) & \cdots & \longrightarrow & B_k & \longrightarrow & B_{k-1} & \longrightarrow \cdots \longrightarrow B_1 \longrightarrow B \\
 \downarrow & & & \downarrow & & \downarrow & & \downarrow \\
 M_\infty & \cdots & \longrightarrow & M_k & \xrightarrow{p_k} & M_{k-1} & \longrightarrow \cdots \longrightarrow M_1 \xrightarrow{p_1} M
 \end{array}$$

$\swarrow \quad \searrow$
 D_k
 $\swarrow \quad \searrow$
 D_1

The limit (B_∞, D_∞) is a profinite dimensional Lie algebroid!

Riemann surfaces with $|\nabla K| = 1$ revisited (once again!)

$$\begin{array}{ccc}
 B = p^* A & \longrightarrow & A = \mathbb{R}^3 \\
 \downarrow & \nwarrow \text{D} & \downarrow \\
 \mathbb{R} \times \mathbb{S}^1 & \xrightarrow{p} & \mathbb{R}
 \end{array}$$

$$\begin{cases}
 D\theta^1 = -\theta^3 \wedge \theta^1, \\
 D\theta^2 = \theta^3 \wedge \theta^1, \\
 D\theta^3 = K\theta^1 \wedge \theta^2, \\
 DK = \cos(\varphi)\theta^1 + \sin(\varphi)\theta^2.
 \end{cases}$$

Riemann surfaces with $|\nabla K| = 1$ revisited (once again!)

$$\begin{array}{ccc}
 B = p^* A & \longrightarrow & A = \mathbb{R}^3 \\
 \downarrow & \nwarrow \scriptstyle D & \downarrow \\
 \mathbb{R} \times S^1 & \xrightarrow{p} & \mathbb{R}
 \end{array}$$

$$\begin{cases}
 D\theta^1 = -\theta^3 \wedge \theta^1, \\
 D\theta^2 = \theta^3 \wedge \theta^1, \\
 D\theta^3 = K\theta^1 \wedge \theta^2, \\
 DK = \cos(\varphi)\theta^1 + \sin(\varphi)\theta^2.
 \end{cases}$$

$$\begin{array}{ccccccc}
 \mathbb{R}^3 & \longrightarrow & \dots & \longrightarrow & \mathbb{R}^3 & \longrightarrow & \mathbb{R}^3 & \longrightarrow & \dots & \longrightarrow & \mathbb{R}^3 \\
 \downarrow & & & & \downarrow & & \downarrow & & & & \downarrow \\
 \mathbb{R}^\infty \times S^1 \times \mathbb{R} & \longrightarrow & \dots & \longrightarrow & \mathbb{R}^k \times S^1 \times \mathbb{R} & \xrightarrow{p_k} & \mathbb{R}^{k-1} \times S^1 \times \mathbb{R} & \longrightarrow & \dots & \xrightarrow{p_1} & \mathbb{R},
 \end{array}$$

where $\mathbb{R}^k \times S^1 \times \mathbb{R}$ has coordinates $(c_{k-1}, \dots, c_0, \varphi, K)$. Prolongation is determined by:

$$\begin{cases}
 D\varphi = \theta^3 + c_0 (-\sin(\varphi)\theta^1 + \cos(\varphi)\theta^2) \\
 Dc_k = P_k[c_0, \dots, c_k]dK + c_{k+1} (-\sin(\varphi)\theta^1 + \cos(\varphi)\theta^2)
 \end{cases}$$

PDEs and relative Lie algebroids

Example. Consider the PDE for $u = u(x, y)$:

$$u_x = y$$

– Setting $\pi : \mathbb{R}^3 \rightarrow \mathbb{R}^2$, $(x, y, u) \mapsto (x, y)$, can be viewed as the submanifold

$$M = \{(x, u_y, u, x, y)\} \subset J^1\pi = \{(u_x, u_y, u, x, y)\}.$$

– Associated relative algebroid: with $N = \{(x, y, u)\}$

$$\begin{array}{ccc} \underline{\mathbb{R}^2} & \longrightarrow & A = \underline{\mathbb{R}^2} \\ \downarrow & & \downarrow \\ M & \xrightarrow{p} & N \\ (u_y, u, x, y) & \mapsto & (u, x, y) \end{array}$$

$$\begin{cases} D\theta^1 = D\theta^2 = 0, \\ Dx = \theta^1, \\ Dy = \theta^2, \\ Du = y\theta^1 + u_y\theta^2. \end{cases}$$

PDEs and relative Lie algebroids

$$\boxed{u_x = y} \quad \Rightarrow \quad \begin{cases} D\theta^1 = D\theta^2 = 0, \\ Dx = \theta^1, \\ Dy = \theta^2, \\ Du = y\theta^1 + u_y\theta^2. \end{cases}$$

Free variable is u_y , so space of pointwise lifts:

$$L = \{D_1 \mid D_1 u_y = u_{xy}\theta^1 + u_{yy}\theta^2\}.$$

To find first prolongation:

$$0 = D_1 Du = \theta^2 \wedge \theta^1 + (u_{xy}\theta^1 + u_{yy}\theta^2) \wedge \theta^2 = (u_{xy} - 1)\theta^1 \wedge \theta^2 \Rightarrow u_{xy} = 1.$$

So $M_1 := \{(x, u_y, u, x, y, u_{yy})\} \subset J^2\pi$ and 1st prolonged relative derivation is

$$\Rightarrow \begin{cases} D_1\theta^1 = D_1\theta^2 = 0, \\ D_1x = \theta^1, \\ D_1y = \theta^2, \\ D_1u = y\theta^1 + u_y\theta^2, \\ D_1u_y = \theta^1 + u_{yy}\theta^2, \end{cases} \quad (\text{with } u_{yy} \text{ a free variable}).$$

PDEs and relative Lie algebroids

Data:

- $q : N \rightarrow X$ – submersion
- $p_k : J^k q \rightarrow J^{k-1} q$, $q_k : J^k q \rightarrow X$ – jet bundle projections
- $E \subseteq J^k q$ – submanifold (k^{th} -order PDE)

Assumption: $p_k(E) \subset J^{k-1} q$ is manifold and $q_k : E \rightarrow X$ is a submersion.

PDEs and relative Lie algebroids

Data:

- $q : N \rightarrow X$ – submersion
- $p_k : J^k q \rightarrow J^{k-1} q$, $q_k : J^k q \rightarrow X$ – jet bundle projections
- $E \subseteq J^k q$ – submanifold (k^{th} -order PDE)

Assumption: $p_k(E) \subset J^{k-1} q$ is manifold and $q_k : E \rightarrow X$ is a submersion.

Definition

The **relative algebroid of the PDE E** is $(q_{k-1}^* TX, p_k, D_E)$ where $p_k : E \rightarrow p_k(E)$, and

$$\begin{cases} D_E f = (p_k^* df)|_{\mathcal{C}}, & \text{if } f \in C^\infty(p_k(E)), \\ D_E(q_{k-1}^* \alpha) = i_E^* q_k^* d\alpha, & \text{if } \alpha \in \Omega^\bullet(X). \end{cases}$$

with $i_E : E \hookrightarrow J^k q$ the inclusion and $\mathcal{C}$ the restriction of the Cartan distribution to $p_k(E)$.

PDEs and relative Lie algebroids

Let $E \subset J^k q$ be a PDE with relative algebroid $(q_{k-1}^* TX, p_k, D_E)$. Then:

- germs of solutions to E are in 1-1 correspondence with germs of realizations of the relative algebroid modulo diffeomorphisms;
- E is k -integrable iff its relative algebroid is k -integrable;
- E is formally integrable iff its relative algebroid is formally integrable.
- Solutions, symmetries, reduction of E can be understood in terms of the integrations of its relative algebroid.

PDEs and relative Lie algebroids

Let $E \subset J^k q$ be a PDE with relative algebroid $(q_{k-1}^* TX, p_k, D_E)$. Then:

- germs of solutions to E are in 1-1 correspondence with germs of realizations of the relative algebroid modulo diffeomorphisms;
- E is k -integrable iff its relative algebroid is k -integrable;
- E is formally integrable iff its relative algebroid is formally integrable.
- Solutions, symmetries, reduction of E can be understood in terms of the integrations of its relative algebroid.

Conclusion

Geometric theory of PDEs $\subset$ (relative) Lie algebroid/groupoid theory

Some references

- Robert Bryant, Notes on exterior differential systems, [arXiv:1405.3116](#).
- R.L.F. & Ivan Struchiner, The Global Solutions to a Cartan's Realization Problem, *Mem. Amer. Math. Soc.* **306** (2025), no. 1548.
- R.L.F. & Wilmer Smilde, Relative Lie algebroids and Cartan realization problems, [arXiv:2503.19233](#). To appear in *Selecta Mathematica*.
- Wilmer Smilde, Notes on relative algebroids, [arXiv:2510.21987](#).
- Wilmer Smilde, Relative algebroids and symmetries of Pfaffian fibrations, [arXiv:2510.01517](#).

Thank you!